

Notice of Adoption

Temporary and Disability Assistance, Office of
(SUBMITTING AGENCY)

- ☒ This adoption will amend the NYCRR.
☐ This adoption will not amend the NYCRR.

NOTE: Typing and submission instructions are at the end of this form. Please be sure to COMPLETE ALL ITEMS. Incomplete forms will be cause for rejection of this notice. change in text

1. Action taken:

Amendment of 18 NYCRR §§ 350.2(a) and 351.21(b)-(c)

☐ "X" box if the rule was originally proposed as a consensus rule making.

2. Effective date of rule:

☒ Date this notice is published in the *State Register*.

☐ This is a "rate making" as defined in SAPA §102(2)(a)(ii), and, is effective as follows:

☐ Date of filing.

☐ Other date (*specify*):

☐ Other date (*specify*):

☐ _____ days after filing.

3. Statutory authority under which the rule was adopted:

Social Services Law §§ 20(3)(d), 34(3)(f), 131(1), 134-a, and 355(3)

4. Subject of the rule:

See attached Addendum #1

5. Purpose of the rule:

See attached Addendum #2

6. Terms and identification of rule :

A. I.D. No. of original notice of **proposed** or **emergency/proposed** rule making: TDA-44-24-00001 - EP

B. Comparison of the proposed rule to the adopted rule (CHECK ALL THAT APPLY):

☒ No changes were made to the proposed rule.

● Text/Summary does not need to be republished in the State Register. If the last previously published RIS, RFA, RAFA or JIS remain adequate and do not require correction, SKIP ITEMS 9-12 and do NOT attach any such statements. If any of the most recently published statements were deemed inadequate or required correction, complete Item 9, 10, 11, or 12 as applicable, do NOT attach previously published statements. Be sure to complete C (if applicable), and D, as well as remaining Items 7-8 and 13-14.

☐ Nonsubstantive changes were made in [Parts, sections, subdivisions or paragraphs]:

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● Text/Summary is required to be republished in the State Register. Attach the original of the text as adopted (if proposed as full text, submit full text; if proposed as a summary, submit a summary) typed in scannable format. Do not skip Items 9-12; revised statements or explanatory statements are required.

☐ Text attached.☐ Summary attached.☐ This is a "rate making" as defined in SAPA §102(2)(a)(ii) and, pursuant to SAPA §202(7)(b), the agency elected to submit an original copy of a description of the substance. Substantial revisions were made in the following Parts, sections, subdivisions or paragraphs:

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C. List the publication date and I.D. No. of any previously published notice(s) of **revised** rule making:

Publication date: _____, I.D. No. _____ -

Publication date: _____, I.D. No. _____ -

D. Signed certification of adoption and full text of the rule are attached:

☒ Signed certification of adoption (scanned pdf).☒ Full text of the rule (MS Word).

7. The text of the final rule and any required statements and analyses may be obtained from:

Agency contact Richard P. Rhodes, Jr.Agency name New York State Office of temporary and Disability AssistanceOffice address 40 North Pearl Street, 16CAlbany, NY 12243-0001Telephone (518) 486-7503E-mail: richard.rhodesjr@otda.ny.gov

8. Additional matter required by statute:

☐ Yes (include below material required by statute).

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☒ No additional material required by statute.

9. Revised Regulatory Impact Statement (RIS)

(SELECT AND COMPLETE ONE; ALL ATTACHMENTS MUST BE 2,000 WORDS OR LESS)

A. The attached Revised RIS contains:

☐ The full text of the Revised RIS.☐ A summary of the Revised RIS.B. A **statement is attached** explaining why a revised RIS is not required (check one box):☐ Changes made to the last published rule do not necessitate revision to the previously published RIS.☐ This is a technical amendment exempt from SAPA §202-a.

C. ☐ A revised RIS is **not** attached because this rule is a “rate making” as defined in SAPA §102(2)(a)(ii).

☐ A revised RIS is **not** attached because this rule was proposed as a consensus rule as defined in SAPA

10. Revised Regulatory Flexibility Analysis (RFA) for small businesses and local governments

(SELECT AND COMPLETE ONE; ALL ATTACHMENTS MUST BE 2,000 WORDS OR LESS)

A. The attached Revised RFA contains:

☐ The full text of the Revised RFA.

☐ A summary of the Revised RFA.

B. A **statement is attached** explaining why a revised RFA is not required (check one box):

☐ Changes made to the last published rule do not necessitate revision to the previously published RFA.

☐ The changes will not impose any adverse economic impact or reporting, recordkeeping or other compliance requirements on small businesses or local governments. The attached statement sets forth this agency’s findings and the reason(s) upon which the findings were made, including what measures were used to determine those findings.

C. ☐ A revised RFA is **not** attached because this rule is a “rate making” as defined in SAPA §102(2)(a)(ii).

☐ A revised RFA is **not** attached because this rule was proposed as a consensus rule as defined in SAPA §102(11).

11. Revised Rural Area Flexibility Analysis (RAFA)

(SELECT AND COMPLETE ONE; ALL ATTACHMENTS MUST BE 2,000 WORDS OR LESS)

A. The attached Revised RAFA contains:

☐ The full text of the Revised RAFA.

☐ A summary of the Revised RAFA.

B. A **statement is attached** explaining why a revised RAFA is not required (check one box):

☐ Changes made to the last published rule do not necessitate revision to the previously published RAFA.

☐ The changes will not impose any adverse impact or reporting, recordkeeping or other compliance requirements on public or private entities in rural areas. The attached statement sets forth this agency’s findings and the reason(s) upon which the findings were made, including what measures were used to determine those findings.

C. ☐ A revised RAFA is not attached because this rule is a “rate making” as defined in SAPA §102(2)(a)(ii).

☐ A revised RAFA is **not** attached because this rule was proposed as a consensus rule as defined in SAPA §102(11).

12. Revised Job Impact Statement (JIS)

(SELECT AND COMPLETE ONE; ALL ATTACHMENTS MUST BE 2,000 WORDS OR LESS)

A. The attached Revised JIS contains:

☐ The full text of the Revised JIS.

☐ A summary of the Revised JIS.

B. A **statement is attached** explaining why a revised JIS is not required (check one box):

☐ Changes made to the last published rule do not necessitate revision to the previously published JIS.

☐ The changes will not impose a substantial impact on jobs and employment opportunities. The attached statement sets forth this agency’s findings that the rule will have a positive impact or no impact on jobs and employment opportunities; except when it is evident from the subject matter of the rule that it could only have a positive impact or no impact on jobs and employment opportunities, the statement shall include a summary of the information and methodology underlying that determination.

C. A revised JIS is **not** attached because:

☐ This rule is a “rate making” as defined in SAPA §102(2)(a)(ii).

☐ This rule was proposed by the State Comptroller or Attorney General.

13. Assessment of Public Comment (includes legislative comments)

(COMPLETE ONE; ALL ATTACHMENTS MUST BE 2,000 WORDS OR LESS):

- ☒ Attached is an assessment of public comment.
 No particular form is required, and it need **only** include comments not addressed in any previously published assessment for this rule. However, the assessment must be based on any written comments received by the agency or any comments presented at any public hearing held by the agency about this rule (include legislative comment). It must contain a summary and an analysis of the issues raised and significant alternatives suggested, a statement of the reason(s) why any significant alternatives were not incorporated, and a description of any changes made as a result of such comments.
- ☐ An assessment is not attached because no comments were received.
- ☐ An assessment is not required because this action is for a "rate making" as defined in SAPA §102(2)(a)(ii).

14. Referenced material (check one box):

- ☒ No information is being incorporated by reference in this rule.
- ☐ This rule contains referenced material in the following Parts, sections, subdivisions or paragraphs:

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15. Initial Review of Rule (SAPA §207)

(SELECT AND COMPLETE ONE)

- A. ☐ As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year _____, which is no later than the 3rd year after the year in which this rule is being adopted.
- B. ☐ As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year _____, which is the 4th or 5th year after the year in which this rule is being adopted. This review period, justification for proposing same, and invitation for public comment thereon, were contained in a RFA, RAFA or JIS:
- ☐ Attached is an assessment of public comment on the issue of the 4 or 5-year initial review period;
 or
- ☐ An assessment of public comment on the 4 or 5-year initial review period is not attached because no comments were received on the issue.
- C. ☒ As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2030, which is no later than the 5th year after the year in which this rule is being adopted.
- D. ☐ Not Applicable. This is a "rate making" or a "consensus rule," or a repeal of a rule.

AGENCY CERTIFICATION (To be completed by the person who PREPARED the notice)

I have reviewed this form and the information submitted with it. The information contained in this notice is correct to the best of my knowledge.

I have reviewed Article 2 of SAPA and Parts 260 through 263 of 19 NYCRR, and I hereby certify that this notice complies with all applicable provisions.

Name Richard P. Rhodes, Jr. Signature /s/ Richard P. Rhodes, Jr.

Address N.Y.S.O.T.D.A., 40 North Pearl Street, 16C, Albany, NY 12243-0001

Telephone (518) 486-7503 E-mail richard.rhodesjr@otda.ny.gov

Date 01/31/2025

Please read before submitting this notice:

1. Except for this form itself, all text must be typed in the prescribed format as described in the Department of State's *Register* procedures manual, *Rule Making in New York*.
2. Rule making notices, with any necessary attachments (in MS Word unless otherwise specified), should be e-filed via the Department of State website.

4. Subject of the rule:

Authorization periods for Family Assistance (FA) and Safety Net Assistance (SNA) in both public assistance cases and in cases wherein a non-legally responsible caregiver is caring for a child or children for whom the non-legally responsible caregiver is applying for or receiving assistance.

5. Purpose of the rule:

To amend State regulations to establish a standard 12-month authorization period for all FA and SNA cases, and a standard 24-month authorization period for all FA and SNA cases wherein a non-legally responsible caregiver is caring for a child or children for whom they are applying for or receiving assistance.

Subdivision (a) of § 350.2 of Title 18 NYCRR is amended to read as follows:

350.2 Authorization of grant. (a) Assistance to an eligible person is granted by a social services official on the basis of an authorization prepared on the State-prescribed form. Such an authorization shall contain all pertinent information as to the status of the case and the amount of the authorized grants of assistance and shall be effective for a period of time coinciding with periods of eligibility determinations and redeterminations and shall be made [not less frequently than] at the following intervals:

(1) [by the end of the third calendar month of the acceptance of an application for all new and reopened cases under the programs of Aid to Dependent Children and Aid to Dependent Children with an unemployed parent (ADC and ADC-U), as well as all Home Relief (including singles);

(2)] by the [sixth] 12th month after such certification for [ADC and HR] Family Assistance (FA) and Safety Net Assistance (SNA) cases;

[(3)] (2) [every three months] by the 24th month after such certification for [ADC-U] FA and SNA cases wherein a non-legally responsible caregiver is caring for a child or children for whom they are applying for or receiving assistance; and

[(4)] (3) as needed in excess of the minimum where there is a predictable change in need or circumstances.

Subdivisions (b)–(c) of § 351.21 of Title 18 NYCRR are amended to read as follows:

(b) All variable factors of need and eligibility shall be reconsidered, reevaluated and verified at least once in every:

(1) [three months, in cases of Family Assistance (FA) when eligibility is based on the unemployment of a parent; and

(2) six] 12 months, in cases of Family Assistance (FA) [when eligibility is not based on the unemployment of a parent] and [in cases of] Safety Net Assistance (SNA)[.]; and

(2) 24 months, in all cases of FA and SNA wherein a non-legally responsible caregiver is caring for a child or children for whom they are applying for or receiving assistance.

(c) Unless the social services district has an office-approved alternative recertification requirement, the district must use the State-prescribed form in the recertification process and must require[:

(1)] a face-to-face interview with the recipient, or, at the request of the recipient, an interview by telephone or other digital means, for each recertification[; and

(2) a face-to-face interview with the recipient, or, at the request of the recipient, an interview by telephone or other digital means, by the end of the third calendar month following the month of acceptance for all new and reopened FA and SNA cases].

Assessment of Public Comments

The Office of Temporary and Disability Assistance (OTDA) received public comments supporting the regulation following its publication in the issue of the *New York State Register*. The following represents a summary and analysis of such comments.

Comment:

The commenter agrees with OTDA's assessment that in view of ongoing staffing shortages, the proposed regulatory amendments will help "ensure delivery of critical benefits" and commends OTDA for "taking proactive steps that will assist with timely processing." The commenter further notes that "for non-parent caregivers, whose income and resources do not affect the eligibility of the children in their care, a [24-month] recertification period will support both agency workers and the caregivers."

Response:

OTDA agrees with this comment.



Office of Temporary and Disability Assistance

KATHY HOCHUL
Governor

BARBARA C. GUINN
Commissioner

RAJNI CHAWLA
Executive Deputy Commissioner

CERTIFICATION

I hereby certify that the attached amendments to §§ 350.2(a) and 351.21(b)–(c) of Title 18 of the *Official Compilation of Codes, Rules, and Regulations of the State of New York* are duly readopted by me, Commissioner Barbara C. Guinn, on this date pursuant to authority vested in the New York State Office of Temporary and Disability Assistance (OTDA) by Social Services Law (SSL) §§ 20(3)(d), 34(3)(f), 131(1), 134-a, and 355(3). These amendments shall be effective upon publication of the Notice of Adoption in the *New York State Register*.

The Notice of Emergency Adoption and Proposed Rule Making relative to the regulatory amendments was published in the *New York State Register* on October 30, 2024, under I.D. No. TDA-44-24-00001-EP. OTDA accepted public comments through December 30, 2024.

The first readoption of the regulatory amendments was effectuated through a Notice of Emergency Adoption published in the *New York State Register* on January 29, 2025 under I.D. No. TDA-44-24-00001-E

No other publication of prior notice is required by statute.

/s/ Barbara C. Guinn

Barbara C. Guinn
Commissioner

January 30, 2025

Date